



# DOE Low-Rise Multifamily Energy Code Field Studies

Unboxing Compliance: What  
We've Learned from Three  
Years of Field Study Data

May 30, 2019



# Low-Rise MF Project Team

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# Collective LRMF Field Study Goals

Estimate regulated energy use in typical low-rise multifamily buildings

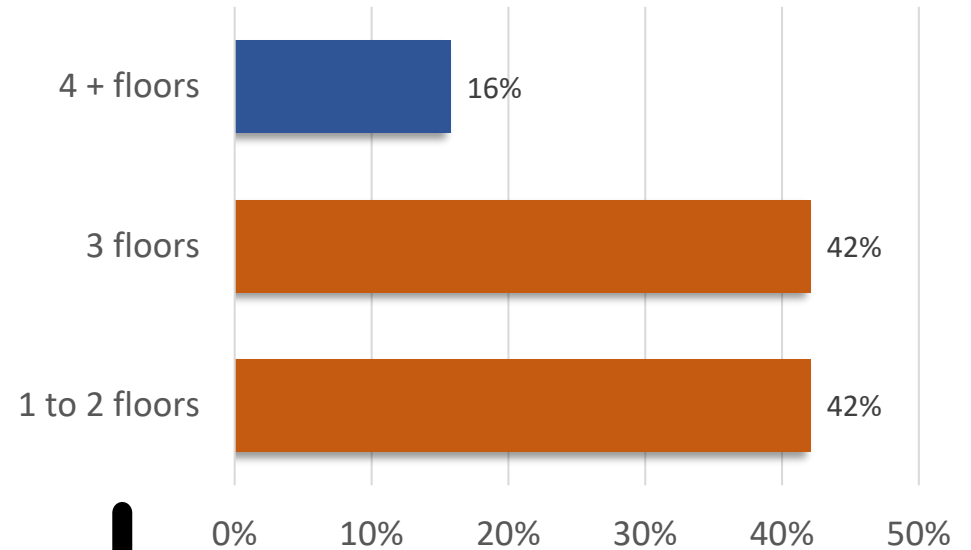
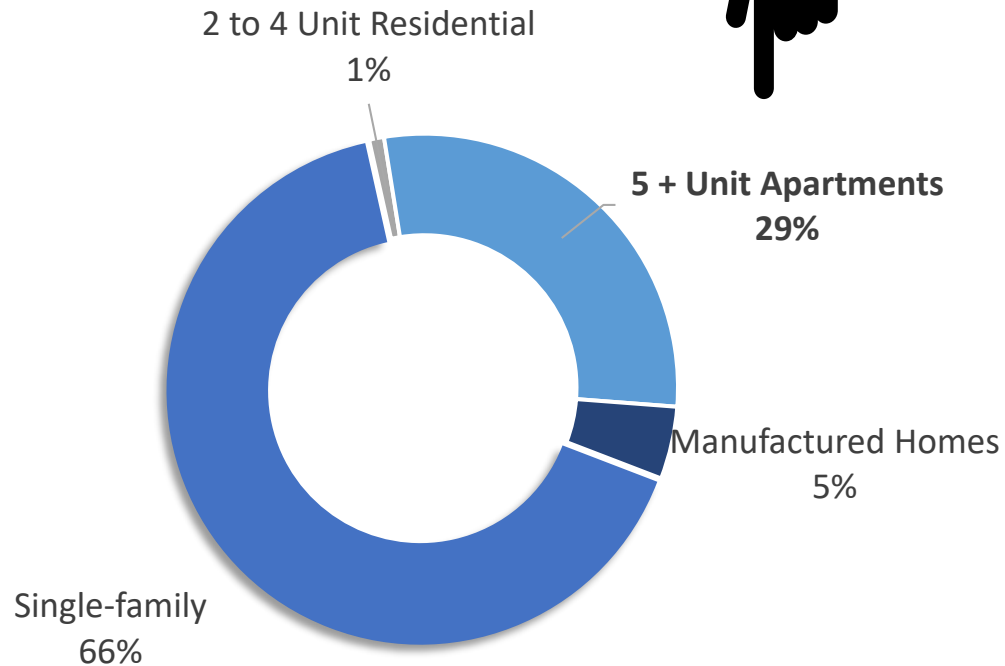
Identify opportunities for energy and cost savings through increased compliance with energy code

Improve understanding of baseline characteristics of this under-represented building type



# Why Low-Rise Multifamily?

Almost 30% of new residential units are in MF buildings



Nearly 85% of new MF buildings are low-rise

# Target Population

## Includes

- New construction  
~3 years
- 1-3 stories, 5+ units
- Mixed occupancy buildings

## Excludes

- Single-family
- Townhouses/rowhouses
- Duplexes, triplexes, fourplexes
- Dorms, assisted living, nursing homes, hotels, etc.



# Building Types

GARDEN STYLE



- Exterior corridors
- Exterior unit entry

COMMON ENTRY



- Interior corridors
- Interior unit entry

# Baseline and Energy Study Objectives

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- Adapt single-family field study protocol to low-rise multifamily
- Collect baseline and energy characteristics
- Model energy efficiency performance

# Baseline and Energy Study Activities



## **Study Design**

Logic model, task mapping and literature review



## **Data Collection Protocol and Tool**

Develop LRMF protocol and data collection tool



## **Data Collection**

Plan review, field visit, and data collection completion



## **Dataset and Analysis**

Baseline characteristics dataset and energy performance modeling



## **Reporting**

LRMF baseline and energy study methodology, baseline dataset



# Sample Design



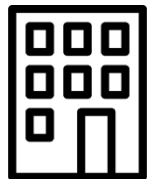
## Target Population

- Source: Dodge Data and Analytics
- Total new LRMF projects over three-year time frame: 2014-2016



## Sample Frame

- Obtain building lists from jurisdictions
- Develop randomized recruiting lists

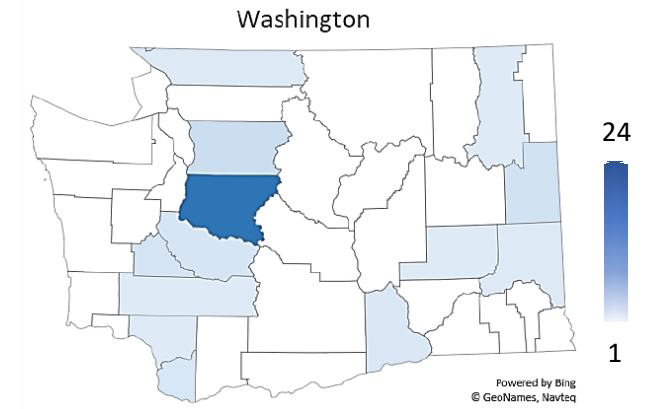
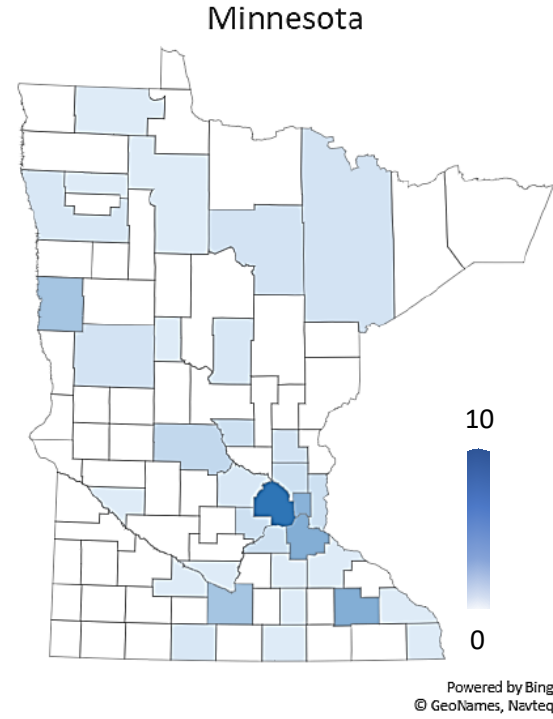
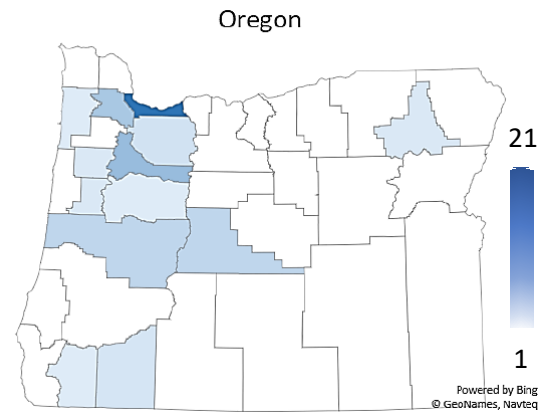
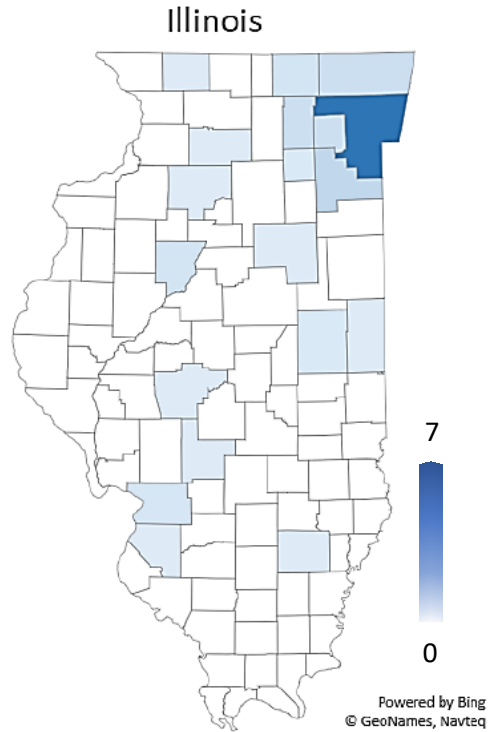


## Sampling Unit

- Primary: Building
- Secondary: Dwelling Unit

25 buildings per state

~3 units per building



# Field Study Geography Distribution

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# Key Baseline and Energy Characteristics

## BUILDING AND COMMON AREAS

Thermal Envelope

HVAC Systems

Hot Water

Int/Ext Lighting

## DWELLING UNITS

High Efficacy  
Lighting

Local HVAC

# Data Collection Tool

- Excel
- Table-based
- Includes surveyor guidance
- Picklists where possible
- Built-in quality control

## BUILDING AND COMMON AREA CHARACTERISTICS

### Lighting

This sheet collects information on interior and exterior building and common area lighting. A summary of residential common areas specified in the Space Summary sheet is provided to the right. Lighting characteristics for each residential common area should be described below. Each row should describe a unique combination of space, fixture type, and lamping.

Space Type	Fixture Count	Fixture Type ID	Fixed or Occupant?
		(use plan IDs, if available)	

### Floor Characteristics

Unit or ID		
Position		
Construction		
Other, please describe		
Area of space under floor		
Area of space under floor		
specify average depth (feet)		

The Unit Detail sheet collects data on the units selected for onsite walk-through. Lighting sections, enter the unit number of the unit associated with the first column and provide observations in the columns to the right. For HVAC ID throughout the HVAC sections, if system provides multiple conditions (E.g. Use a single HVAC ID for a system that provides heating and cooling) serving multiple zones should be described on the Heating & Cooling and

Unit HVAC ID	Local or Central Heating System	Heating Equipment Type	Other

# Differences from Single- Family Study

## Single Family

Number of SF buildings per state: thousands  
Single family surveyed parts of a building  
(components)



## Multifamily

Number of LRMF buildings per state:  
low hundreds  
Multifamily surveyed the entire building

- Not enough LRMF buildings to use a component approach
- Need to learn as much as possible from each building surveyed

# Recruiting Challenges



- Extremely small building pool to draw from
- Sample frame came from building permit offices
  - We requested their list of buildings
  - This was varyingly successful
  - When it didn't work, we turned to Dodge data and to non-traditional sources like Zillow to find buildings
- High number of hours spent to get to a “yes”
- Difficult to recruit sites outside Chicago & Chicago suburbs – for example:
  - Springfield clearly had a number of buildings that met our criteria, as identified through Dodge or Zillow
  - Permit office did not have them on lists provided to us, despite multiple requests



# Analysis Plan

## Summarize Key Characteristics

- Summarize key characteristics
  - Histograms of observed values & tabular description of results
  - Plot by climate zone / state

## Provide Collected Data

- Provide collected data
  - Report on all data points collected

## Estimate Statewide EUI

- Estimate statewide Energy Use Intensity
  - Estimate statewide weighted average EUI via modeling with EnergyPlus
  - Model prototypes with minimum code requirements to determine baseline
  - Model each building with input parameters as observed

## Assess Savings Opportunities

- Assess measure/component savings opportunities
  - Assess impact of bringing non-compliant building components into compliance
  - Calculate savings potential on per-unit basis weighted across state

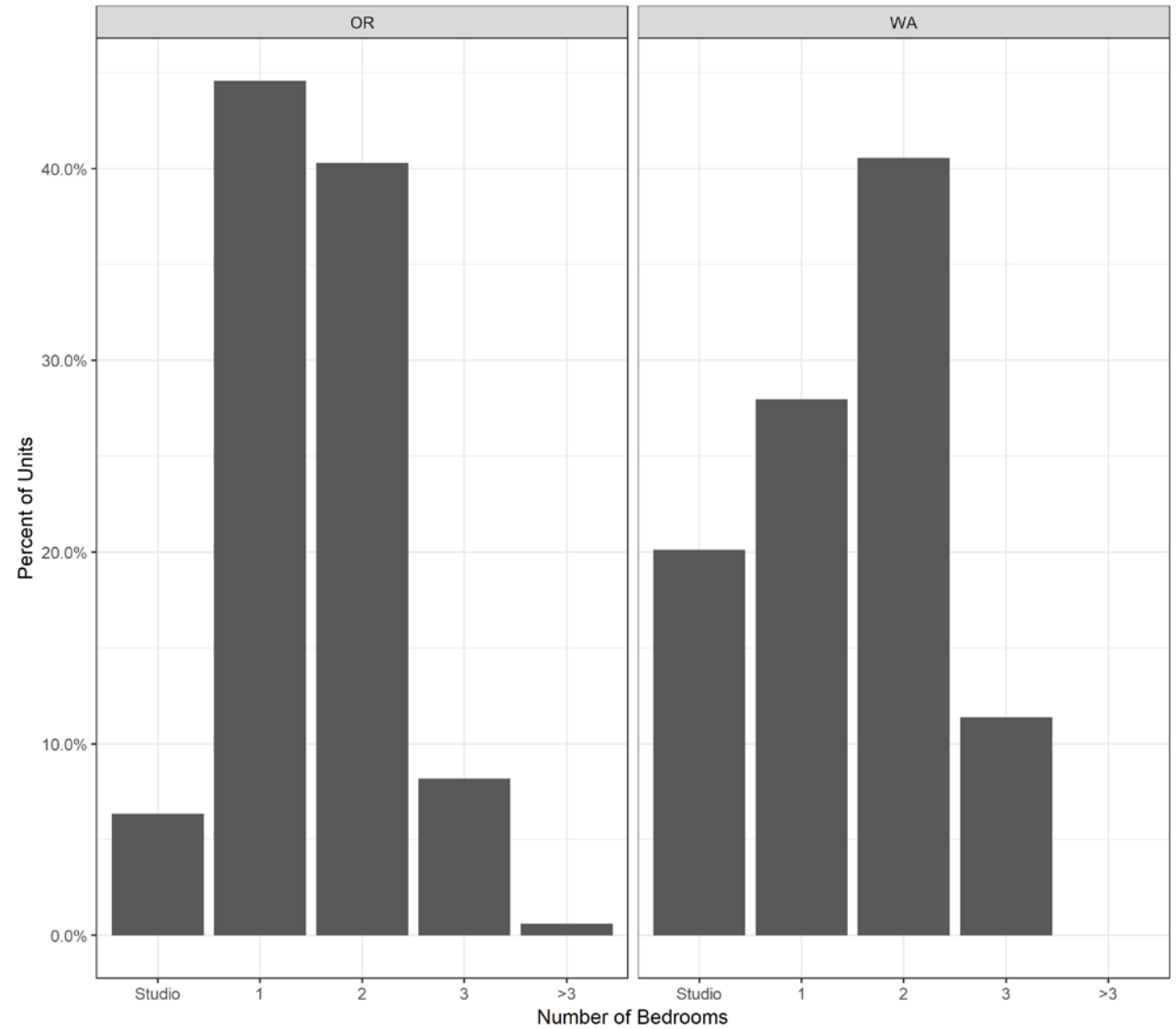
## Preliminary Results: Surveyed Building Area

- WA buildings have more units / building on average

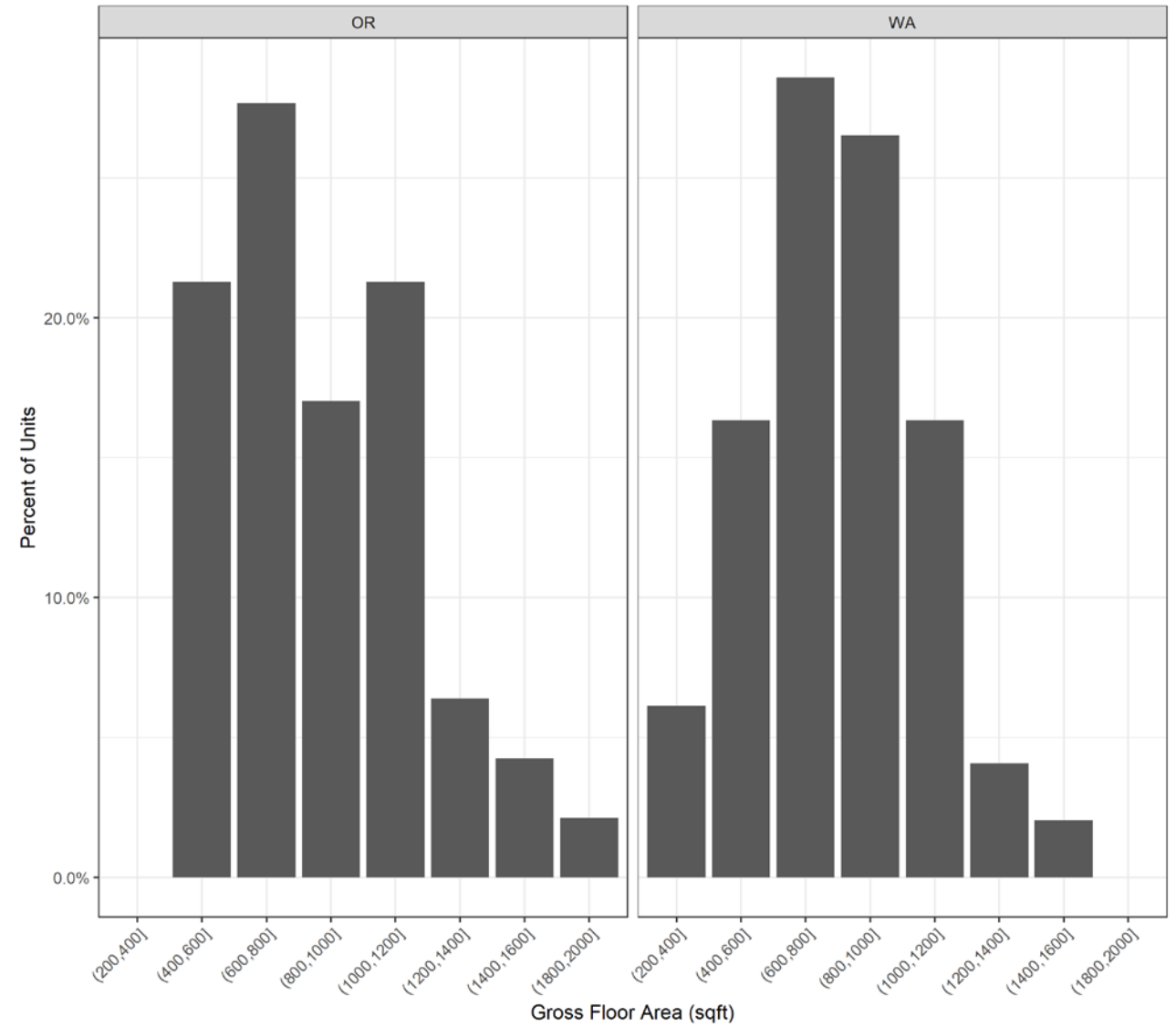
<b>State</b>	<b>Surveyed Buildings (n)</b>	<b>Average Residential Area (cond sqft)</b>	<b>Average # Units</b>
<b>OR</b>	21	22,701	23
<b>WA</b>	25	21,463	29

## Preliminary Results: Number of Units

- Higher percentage of Studios in WA, whereas OR has more 1-bedrooms

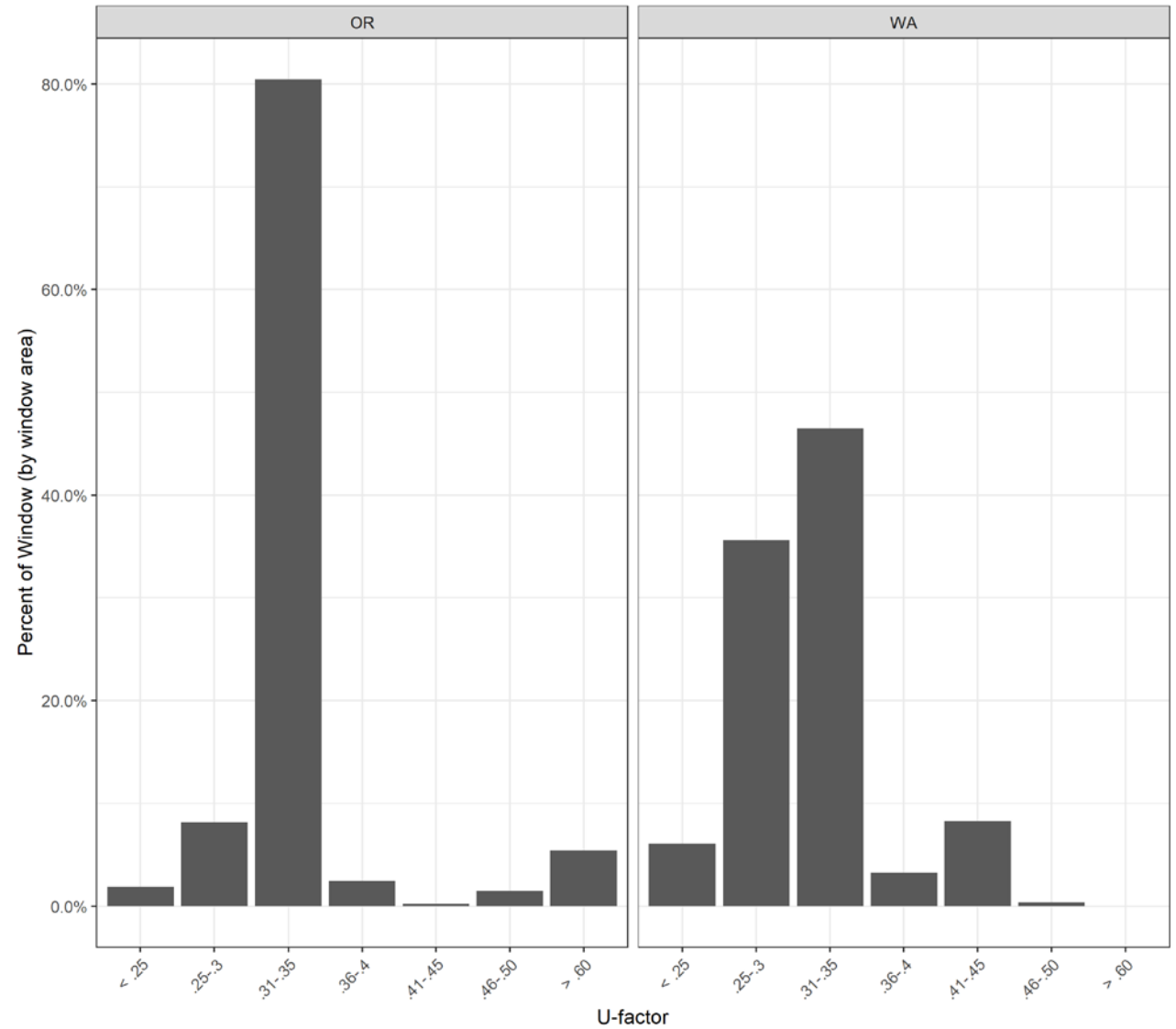


# Preliminary Results: Distribution of Unit Sizes



## Preliminary Results: Windows U-Factor

- WSEC requires 0.30 U-factor, ORSC requires 0.35 U-factor
- OR glazing > 0.46 are primarily patio/entry doors



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# Air Tightness Testing - Preliminary Results





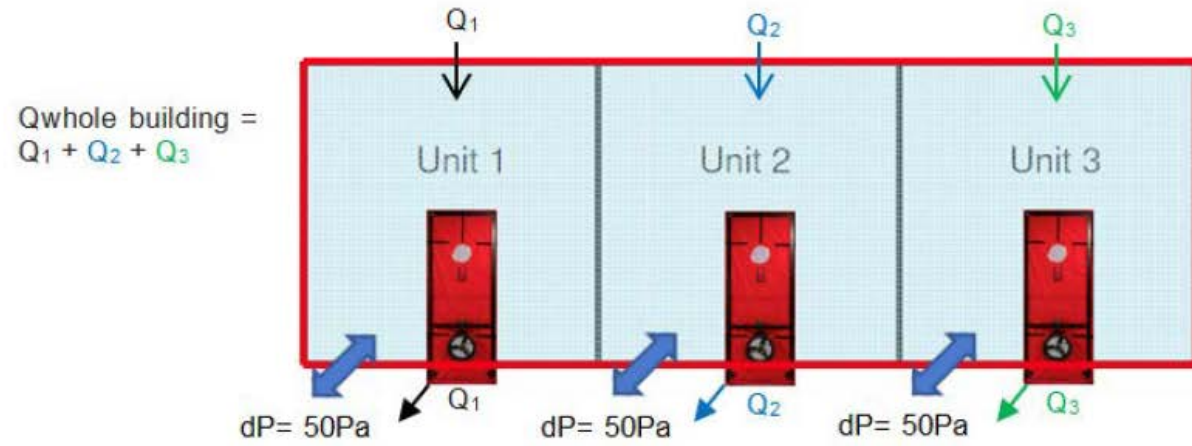
# Air Tightness Testing Goals

## Research Goals

- Determine whether a relationship between different air tightness tests exists for the two building types and, if so, how strong it is and what variables affect its predictive power for energy use.
- Provide an envelope air leakage test protocol(s) that would be appropriate and practical to determine energy code compliance for multifamily buildings.
- Provide guidance for the development of code language to address envelope tightness in model energy codes aimed at the low-rise multifamily sector.
- Assess the energy impact of air tightness testing using the testing protocols used in this study.

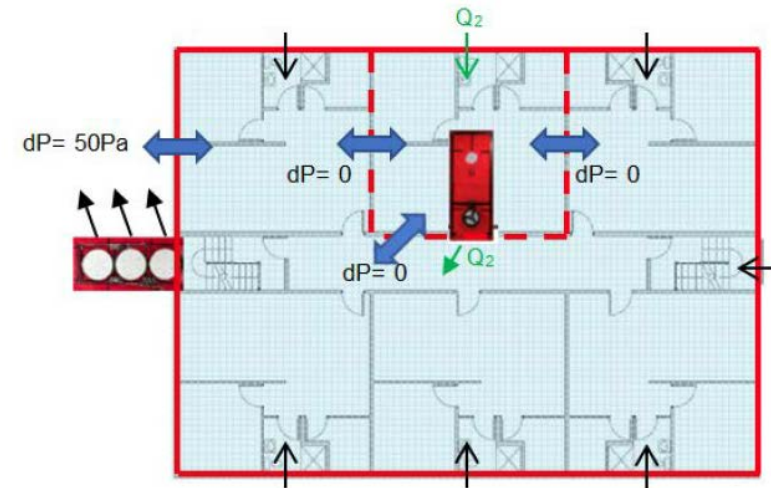
# Building Testing Methodology

- Three types of tests
  - Whole Building Exterior Leakage
  - Individual Unit Exterior Leakage
  - Individual Unit Interior and Exterior Leakage



Paul Morin, TEC

Garden Style - Whole Building Exterior Leakage



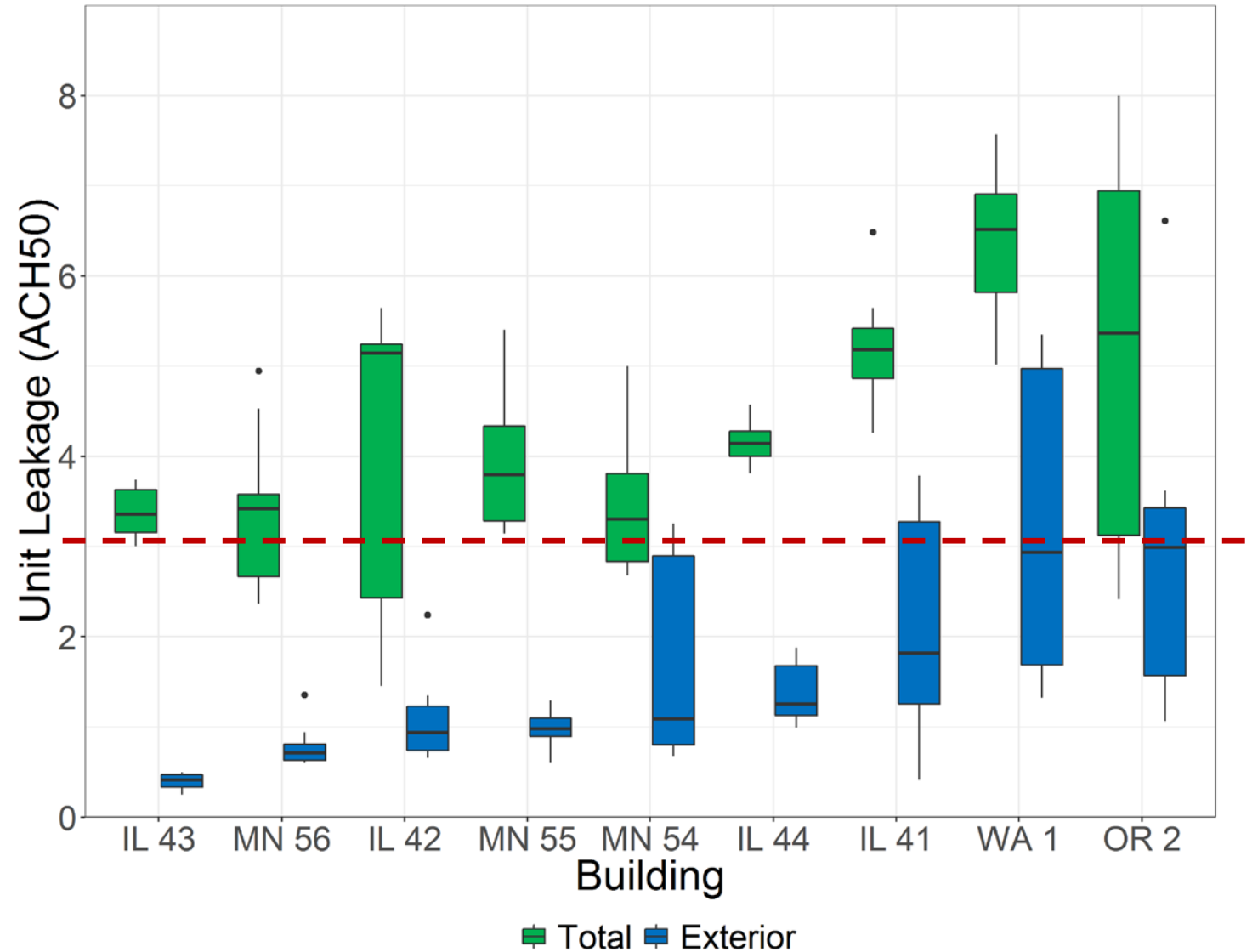
Paul Morin, TEC

Common Entry - Whole Building Exterior Leakage

## Preliminary Results Air Tightness Testing: Common Entry Buildings

- The whole building exterior leakage ranged from 0.41 to 3.26  $ACH_{50}$  with an average of 1.75  $ACH_{50}$ .
- For five of the nine buildings, the leakage met the IECC requirement of  $\leq 3$   $ACH_{50}$ .

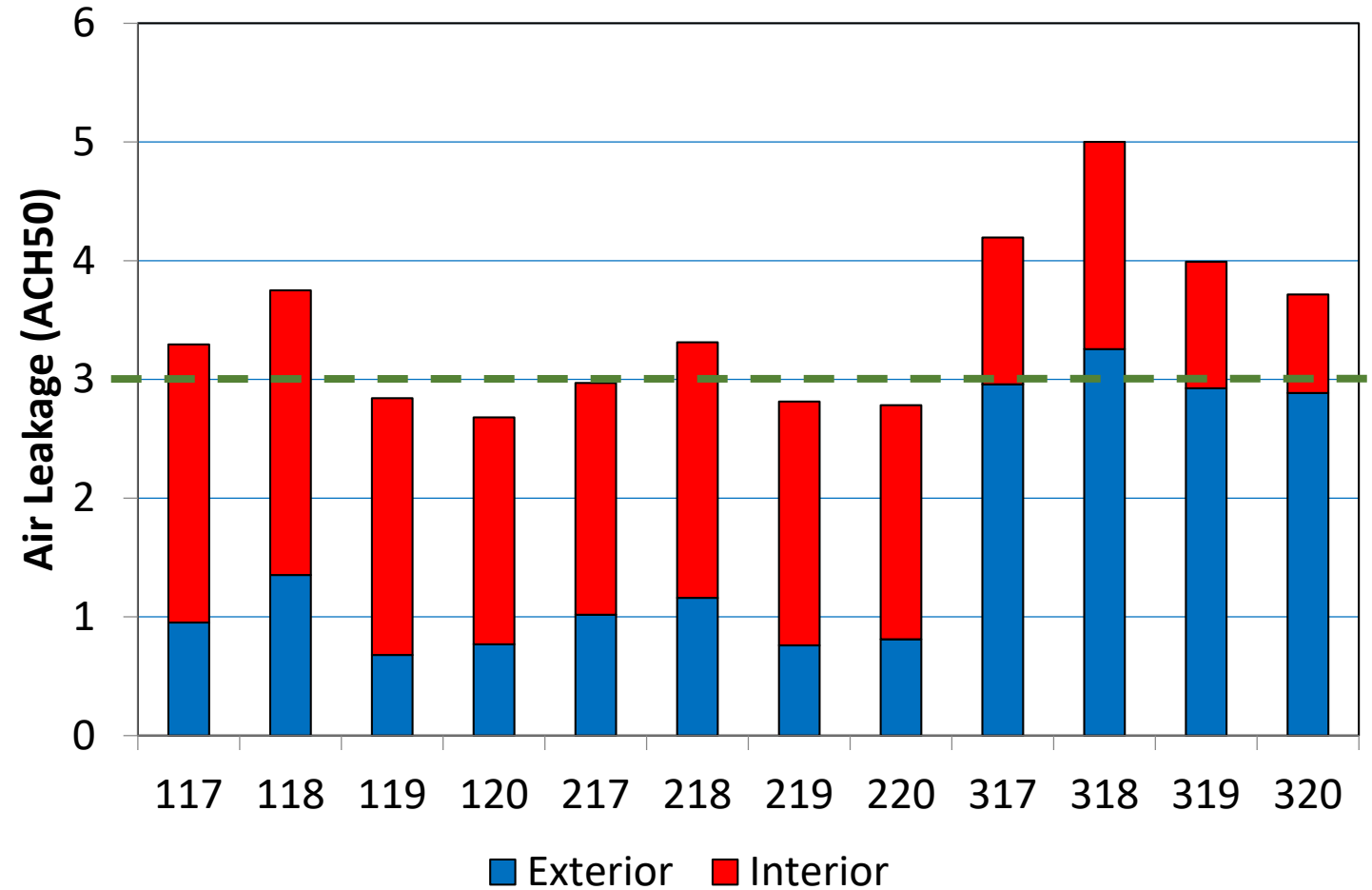
Total and Exterior Leakage for Common Entry Buildings



## Preliminary Results Air Tightness Testing: Common Entry Buildings

- This chart shows the measured leakage of all 12 units in a common entry building.
- Each bar represents a unit's total leakage divided between exterior and inter-unit.

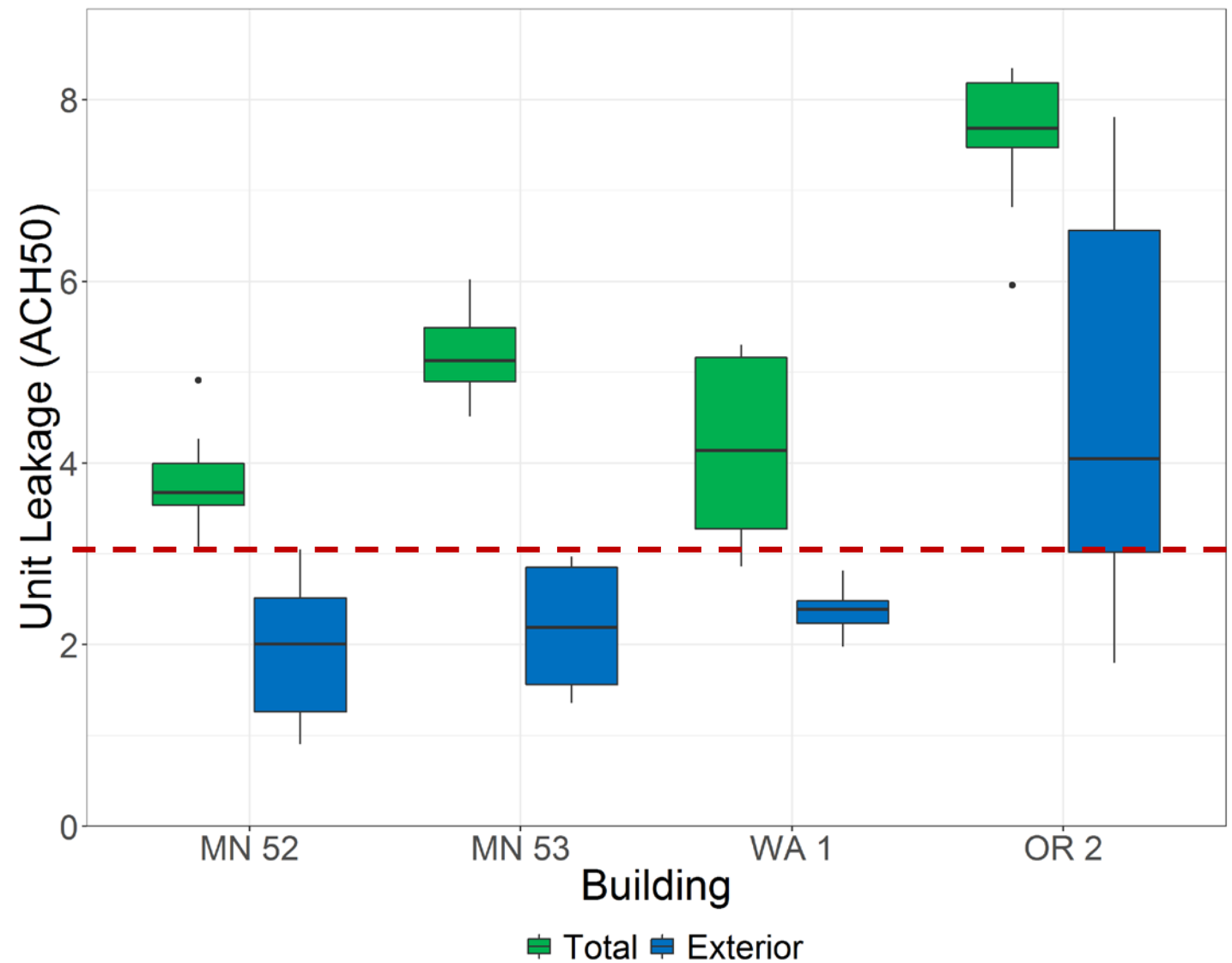
Individual Unit Air Leakages for Building MN54



## Preliminary Results Air Tightness Testing: Garden Style Buildings

- The whole building exterior leakage ranged from 2.0 to 4.7  $ACH_{50}$  with an average of 2.8  $ACH_{50}$ .
- For three of the four buildings, the leakage met the IECC requirement of  $\leq 3$   $ACH_{50}$ .

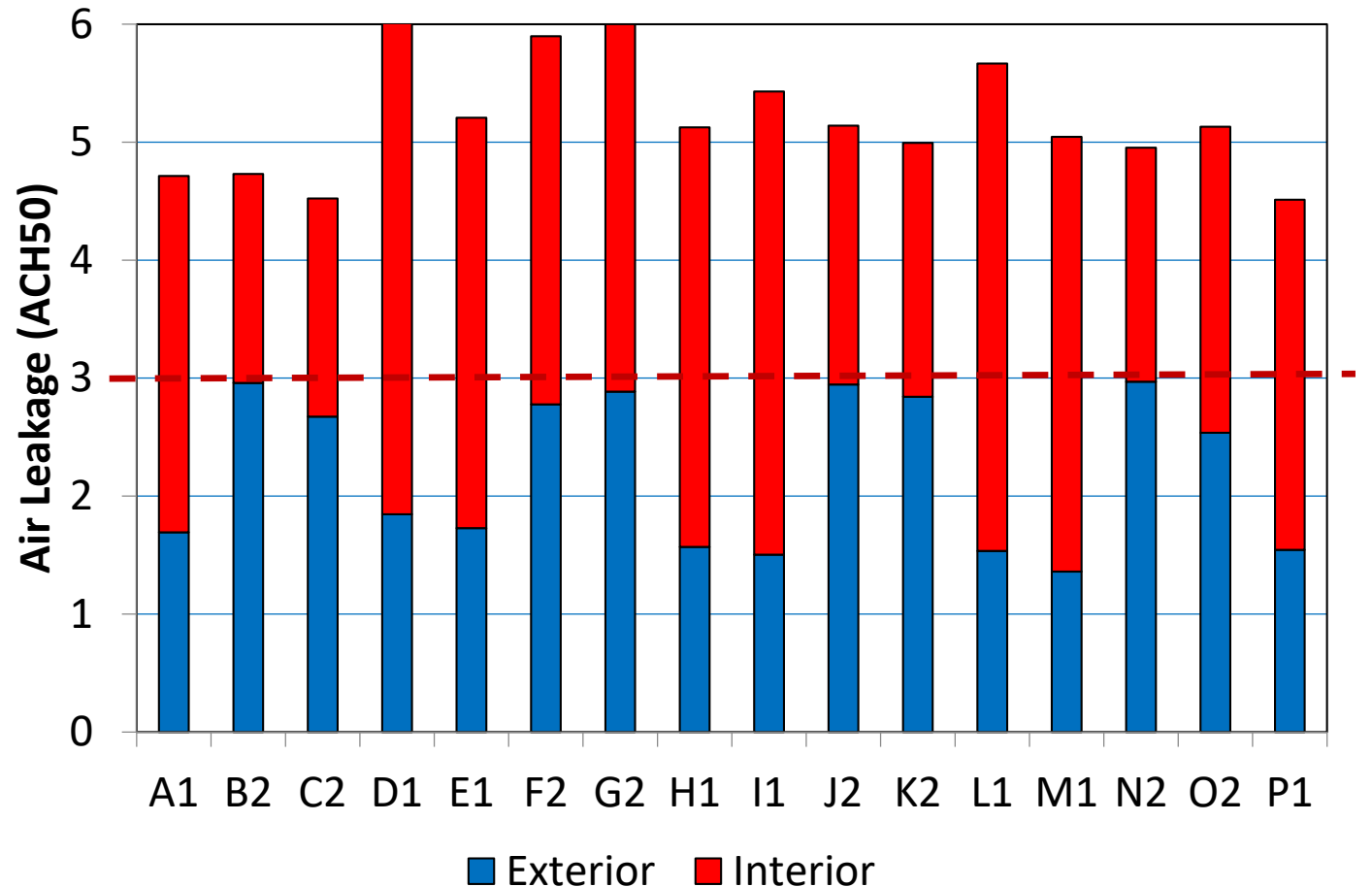
Total and Exterior Leakage ( $ACH_{50}$ ) for Garden Style Buildings



## Preliminary Results Air Tightness Testing: Garden Style Buildings

- This chart shows the measured leakage of all 16 units in a garden style building.
- Each bar represents a unit's total leakage divided between exterior and inter-unit.

Individual Unit Air Leakages for Building MN53







# DOE Low-Rise Multifamily Energy Code Field Studies

Final Report available  
4<sup>th</sup> Quarter 2019